

UI Solutions Group's

Regulatory Filings Module



Available typically
as part of our
Regulatory Solution

Regulators are requiring different types of cost recovery and more data for both traditional cost of service and alternative ratemaking principles. In addition, there are other external and internal filings and analysis that are routinely completed by utility regulatory departments. As a result, our clients need to have efficient and consistent regulatory filing processes that provide more value-added analysis time.

UI's Regulatory Filings Module allows users to easily and quickly create sophisticated **reporting packages**, analyze potential **outcomes**, and perform analysis of the **results** in support of testimony, data requests, and negotiated settlements.

UI'S REGULATORY FILINGS MODULE ENABLES UTILITIES TO:

- ▶ **Enable better regulatory strategy:** Empower users to allocate more time to conducting regulatory analysis and strategy with automation and optimizing resource efficiency.
- ▶ **Quick integration of last-minute changes:** Allow for efficient modifications, changes, and the rerun of filing packages with fast turnaround.
- ▶ **Understand drivers that influence regulatory results:** Make better business decisions and better regulatory outcomes with the ability to analyze drivers of detailed regulatory results such as revenue requirement and regulatory return on equity.
- ▶ **Enhanced what-if-analysis for rate case strategy:** Devise smart filing strategies by easily creating, running, and comparing multiple scenarios to see the impacts of changing a combination of drivers.
- ▶ **Support testimony and negotiated settlements:** Streamlines the production of necessary schedules and exhibits for testimony and negotiated settlements and provides for frequent revisions.
- ▶ **Generate other filings and analysis:** Provides the functionality to produce a wide range of non-rate case regulatory-related filings. In addition, you can use UI for various internal analyses and reporting.

COMPONENT EXAMPLES

Our **Regulatory Filings Module** can be configured with robust components, including:

Filing Schedules and Work Papers:

Calculates results and supporting schedules from various inputs and are formatted for specific utility and/or Public Utility Commission (PUC) requirements.

Excel Working Model:

Reflects regulatory calculations in a “working model” and supports regulatory commissions’ requests of their deficiency and cost-of-service study during a rate case or other filing.

Strategic Dashboard:

Provides users the opportunity to leverage user-defined inputs to create what-if scenarios/analysis for regulatory strategy.

The Power of Integration: UI’s Regulatory Filings Module integrates with other modules, ensuring data accuracy and saving time.

